

JC18 Rec'd PCT/PTO 17 DEC 2001

3700 3725

0038-0363P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KIMIYOSHI KITAZAWA et al

Serial No.: 09/869,683

Group:

Filed: SEPTEMBER 24, 2001

Examiner:

For: METHOD OF PERMANENTLY COMPRESSING LUMBER AND COMPRESSED LUMBER

REQUEST FOR A CORRECTED OFFICIAL FILING RECEIPT

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Sir:

Attached hereto is the Official Filing Receipt in connection with  
the above-identified application.

THE FOLLOWING CORRECTION(S) IS/ARE RESPECTFULLY REQUESTED: FILING DATE--  
FILING DATE--

Change From: "SEPTEMBER 24, 2001"

DEC 17 2001

To: --SEPTEMBER 18, 2001--

TITLE--

Change From: "WOOD COMPRESSING/PERMANENT-FIXING METHOD AND  
CONSOLIDATED WOOD"

To: --METHOD OF PERMANENTLY COMPRESSING LUMBER AND  
COMPRESSED LUMBER--

It is respectfully requested that the United States Patent and  
Trademark Office forward a new Filing Receipt showing the correction(s)  
to the undersigned attorney as listed on the enclosed photocopy of our  
Declaration and Power of Attorney Document.

The correction(s) is/are due to an error by the United States  
Patent and Trademark Office, therefore no fee is due.

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JAN 14 2002  
TC 3700 MAIL ROOM

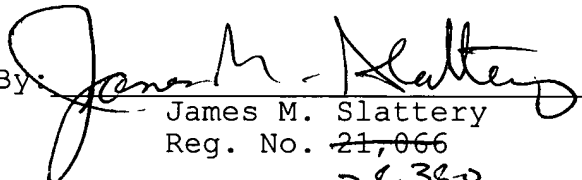
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TC 1700  
DEC 17 2001

09/869,983

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. 1.16 or under 37 C.F.R. 1.17; particularly, extension of time fees.

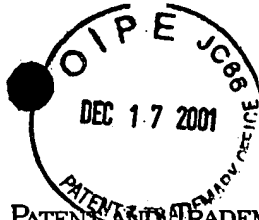
Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By:   
James M. Slattery  
Reg. No. ~~21,066~~  
28,380

P.O. Box 747  
Falls Church, VA 22040-0747

Attachment  
JMS/per  
(703) 205-8000



## UNITED STATES PATENT AND TRADEMARK OFFICE

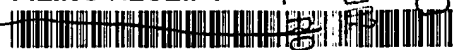
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/869,683	09/24/2001	3725	990	0038-0363P	7	13	13

2292  
BIRCH STEWART KOLASCH & BIRCH  
PO BOX 747  
FALLS CHURCH, VA 22040-0747

CONFIRMATION NO. 8538

## FILING RECEIPT



\*OC000000006828799\*

Date Mailed: 10/03/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kimiyoshi Kitazawa, Nagano, JAPAN;  
Yorikuni Shibuya, Nagano, JAPAN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/06861 10/02/2000

## Foreign Applications

JAPAN 11-314427 11/04/1999

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

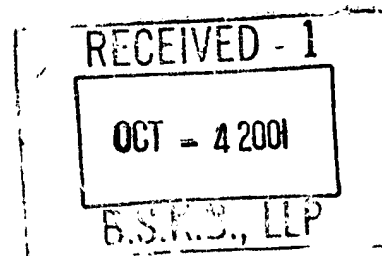
## Title

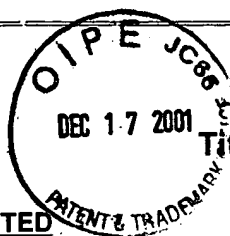
Wood compressing/permanent-fixing method and consolidated wood

## Preliminary Class

144

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

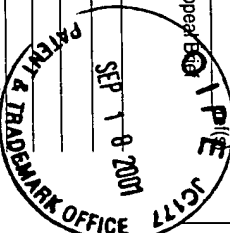
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Papers Filed herewith on: September 18, 2001 4/26/06  
DOCKET NO.: 0038-0363P ATTN: JMS  
APPLICANT(S): Kimiyoshi KITAZAWA et al  
APPLN. NO: 09/809,683 FILED: July 3, 2001



- PAT NO: \_\_\_\_\_
- ☐ New Application with Transmittal Letter  
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional  
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV  
☐ Filing Under 37 CFR 1.53(d) (CPA)  
☐ Filing Under 37 CFR 1.114(RCE)  
☐ Specification Consisting of: \_\_\_\_\_ pages  
☐ Combined Declaration & Power of Attorney  
☐ Assignment / Cover Letter  
☐ Letter to Official Draftsman  
☐ Drawings \_\_\_\_\_ Sheets ☐ Formal ☐ Informal ☐ Red-ink  
☒ Completion of Filing Requirements, PCT/DO/EO/905  
or Formalities Letter and Executed Declaration  
☐ Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
☐ Amendment: \_\_\_\_\_  
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity  
☐ Response \_\_\_\_\_  
☐ Information Disc Simult. PTO-1449(s) ☐ Appeal Brief  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Issue Fee Transmittal  
FEE: \$40.00 CK# 4019882  
☐ Letter: \_\_\_\_\_  
☐ Other: \_\_\_\_\_



Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.  
COMMISSIONER OF PATENTS AND TRADEMARKS  
Due Date: October 14, 2001  
Handcarry: \_\_\_\_\_

DOCKET NO. 0038-0363P

Papers Filed herewith on: September 18, 2001 54  
DOCKET NO.: 0038-0363P ATTN: JMS  
APPLICANT(S): Kimiyoshi KITAZAWA et al  
APPLN. NO: 09/809,683 FILED: July 3, 2001

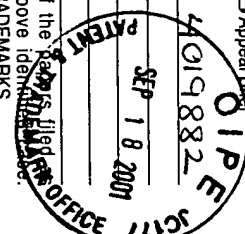
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TC 1700

- PAT NO: \_\_\_\_\_
- ☐ New Application with Transmittal Letter  
☐ Utility ☐ Design ☐ CIP ☐ PCT ☐ Provisional  
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV  
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☐ Drawings \_\_\_\_\_ Sheets ☐ Formal ☐ Informal ☐ Red-ink  
☐ Completion of Filing Requirements, PCT/DO/EO/905  
or Formalities Letter and Executed Declaration  
☐ Priority Document(s) / Cover Letter, No. Doc. \_\_\_\_\_  
☐ Amendment: \_\_\_\_\_  
☐ Transmittal Ltr ☐ Large Entity ☐ Small Entity  
☐ Response \_\_\_\_\_  
☐ Information Disc Simult. PTO-1449(s) ☐ Appeal Brief  
☐ Notice of Appeal ☐ Appeal Brief  
☐ Issue Fee Transmittal  
FEE: \$40.00 CK# 4019882  
☐ Letter: \_\_\_\_\_  
☐ Other: \_\_\_\_\_



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Due Date: \_\_\_\_\_  
Handcarry: \_\_\_\_\_

DOCKET NO. 0038-0363P

OTPE  
DEC 17 2001  
663

BIRCH, EWART, KOLASCH & BIRCH, LLP

ATTORNEY DOCKET NO.  
0038-0363P

PLEASE NOTE:  
YOU MUST  
COMPLETE THE  
FOLLOWING:

COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR PATENT AND DESIGN APPLICATIONS

As a below named inventor, I hereby declare that: my residence, post office address and citizenship are as stated next to my name; that I verily believe that I am the original, first and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Insert Title:

METHOD OF PERMANENTLY COMPRESSING LUMBER AND COMPRESSED LUMBER

Fill in Appropriate  
Information -  
For Use Without  
Specification  
Attached:

the specification of which is attached hereto. If not attached hereto,

the specification was filed on \_\_\_\_\_;  
United States Application Number \_\_\_\_\_

the specification was filed on October 2, 2000;  
International Application Number PCT/JP00/06861; and  
amended under PCT Article 19 on \_\_\_\_\_ (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I do not know and do not believe the same was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (six months for designs) prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by me or my legal representatives or assigns, except as follows.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 (a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Insert Priority  
Information:  
(if appropriate)

Prior Foreign Application(s)

11-314427  
(Number)

Japan  
(Country)

November/4/1999  
(Month/Day/Year Filed)

Priority Claimed

☒ Yes

☐ No

☐ Yes

☐ No

☐ Yes

☐ No

☐ Yes

☐ No

☐ Yes

☐ No

☐ Yes

☐ No

☐ Yes

☐ No

☐ Yes

☐ No

☐ Yes

☐ No

(Number)

(Country)

(Month/Day/Year Filed)

(Number)

(Country)

(Month/Day/Year Filed)

(Number)

(Country)

(Month/Day/Year Filed)

(Number)

(Country)

(Month/Day/Year Filed)

I hereby claim the benefit under Title 35, United States Code, §119(e) of any United States provisional application(s) listed below.

Insert Provisional  
Application(s):  
(if any)

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

All Foreign Applications, if any, for any Patent or Inventor's Certificate Filed More Than 12 Months (6 Months for Designs) Prior To The Filing Date of This Application:

Insert Requested  
Information:  
(if appropriate)

Country

Application No.

Date of Filing (Month/Day/Year)

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Insert Prior U.S.  
Application(s):  
(if any)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

(Application Number)

(Filing Date)

(Status - patented, pending, abandoned)

I hereby appoint the following attorneys to prosecute this application and/or an international application based on this application and to transact all business in the Patent and Trademark Office connected therewith and in connection with the resulting patent based on instructions received from the entity who first sent the application papers to the attorneys identified below, unless the inventor(s) or assignee provides said attorneys with a written notice to the contrary:

- |                     |                   |                       |                   |
|---------------------|-------------------|-----------------------|-------------------|
| Terrell C. Birch    | (Reg. No. 19,382) | Raymond C. Stewart    | (Reg. No. 21,066) |
| Joseph A. Kolasch   | (Reg. No. 22,463) | James M. Slattery     | (Reg. No. 28,380) |
| Bernard L. Sweeney  | (Reg. No. 24,448) | Michael K. Mutter     | (Reg. No. 29,680) |
| Charles Gorenstein  | (Reg. No. 29,271) | Gerald M. Murphy, Jr. | (Reg. No. 28,977) |
| Leonard R. Svensson | (Reg. No. 30,330) | Terry L. Clark        | (Reg. No. 32,644) |
| Andrew D. Meikle    | (Reg. No. 32,868) | Marc S. Weiner        | (Reg. No. 32,181) |
| Joe McKinney Muncy  | (Reg. No. 32,334) | Andrew F. Reish       | (Reg. No. 33,443) |
| C. Joseph Faraci    | (Reg. No. 32,350) | Donald J. Daley       | (Reg. No. 34,313) |

**BIRCH, STEWART, KOLASCH & BIRCH, LLP**

Send Correspondence to:

P.O. Box 747 • Falls Church, Virginia 22040-0747  
Telephone: (703) 205-8000 • Facsimile: (703) 205-8050

PLEASE NOTE:  
YOU MUST  
COMPLETE THE  
FOLLOWING:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of First or Sole Inventor:  
Insert Name of Inventor  
Insert Date This Document is Signed

Insert Residence  
Insert Citizenship

Insert Post Office Address

Full Name of Second Inventor, if any:

see above

Full Name of Third Inventor, if any

see above

Full Name of Fourth Inventor, if any

see above

Full Name of Fifth Inventor, if any

see above

GIVEN NAME <b>Kimi Yoshi</b>		FAMILY NAME <b>KITAZAWA</b>		INVENTOR'S SIGNATURE <i>Kimi Yoshi Kitazawa</i>	DATE <b>July 30, 2001</b>
Residence (City, State & Country) <b>Nagano 380-8553 Japan</b>				CITIZENSHIP <b>Japanese</b>	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country) <b>c/o Faculty of Engineering, Shinshu University 17-1, Wakasato 4-chome, Nagano-shi, Nagano 380-8553 Japan</b>					
GIVEN NAME <b>Yorikuni</b>		FAMILY NAME <b>SHIBUYA</b>		INVENTOR'S SIGNATURE <i>Yori Kuni SHIBUYA</i>	DATE <b>July 30, 2001</b>
Residence (City, State & Country) <b>Nagano 395-8666 Japan</b>				CITIZENSHIP <b>Japanese</b>	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country) <b>c/o Yoshikawa Kensetsu Kabushiki Kaisha 25, Matsuomachi 2-chome, Iida-shi, Nagano 395-8666 Japan</b>					
GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE	DATE
Residence (City, State & Country)				CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)					
GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE	DATE
Residence (City, State & Country)				CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)					
GIVEN NAME		FAMILY NAME		INVENTOR'S SIGNATURE	DATE
Residence (City, State & Country)				CITIZENSHIP	
POST OFFICE ADDRESS (Complete Street Address including City, State & Country)					

DATE OF SIGNATURE